



IFW

Dkt. 2271/71528

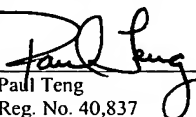
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Tohru YASHIRO et al.

Serial No.: 10/776,973

Date Filed: February 11, 2004

For: **SQUARYLIUM-METAL CHELATE COMPOUNDS AND OPTICAL RECORDING MEDIA**

I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.	
 Paul Teng Reg. No. 40,837	July 23, 2004 Date

1185 Avenue of the Americas
New York, N.Y. 10036
(212) 278-0400

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to the applicant's duty of disclosure, the information listed in the attached Form PTO-1449 is brought to the attention of the Examiner.

It is respectfully requested that the information cited in annexed Form PTO-1449 be considered by the Examiner in connection with the above-identified patent application, and that such art be made of record in said application.

The citation of the listed items is not a representation that they constitute a complete or exhaustive listing of the relevant art or that these items are prior art. The items listed are submitted in good faith, but are not intended to substitute for the Examiner's search. It is hoped, however, that in addition to apprising the Examiner of the particular items, they will assist in identifying fields of search and in making as full and complete a search as possible.

The filing of this Information Disclosure Statement is not an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

The following commonly-owned U.S. patent application is brought to the attention of the Examiner:

(a) U.S. Patent Appl. No. 09/569,501 to YAMADA et al., filed May 11, 2000;

A copy of the pending claims of the above-identified U.S. patent application is enclosed herewith.

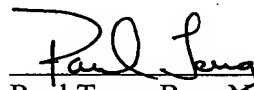
According to the August 5, 2003 OG Notice published by the Patent and Trademark Office, the Office has waived the requirement under 37 C.F.R. §1.98(a)(2)(i) for submitting a copy of each cited U.S. patent and each cited U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003 and for all international application that have entered the national stage under 35 U.S.C. §371 after June 30, 2003.

Accordingly, copies are submitted herewith for only references listed on the annexed Form PTO-1449 that are not a U.S. patent or U.S. patent application publication.

This Information Disclosure is submitted pursuant to 37 C.F.R. §1.97(b)(3). To the best of Applicants' knowledge, a first Office Action on the merits has not been issued in this application.

However, the Office is hereby authorized to charge any fees which may be required for consideration of this Information Disclosure Statement and to credit any overpayment to our Deposit Account No. 03-3125.

Respectfully submitted,



Paul Teng, Reg. No. 40,837
Attorney for Applicants
Cooper & Dunham LLP
1185 Avenue of the Americas
New York, New York 10036
(212) 278-0400



Form PTO-1449 U.S. Department of Commerce Patent and Trademark Office	Atty. Docket No. 2271/71528	Serial No. 10/776,973
INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)		
Applicants: Tohru YASHIRO et al.		Filing Date: February 11, 2004
Group Art Unit:		

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
	5 0 8 5 9 0 9	2-4-1992	Satoh et al.			
	5 1 4 9 8 1 9	9-22-1992	Satoh et al.			
	5 1 6 9 7 4 5	12-8-1992	Yashiro et al.			
	5 2 3 8 7 2 2	8-24-1993	Yashiro et al.			
	5 2 4 2 7 3 0	9-7-1993	Yashiro et al.			
	5 2 5 2 3 7 2	10-12-1993	Yashiro et al.			
	5 2 5 6 7 9 4	10-26-1993	Satoh et al.			
	5 3 1 6 8 1 4	5-31-1994	Sawada et al.			
	5 3 9 1 7 4 1	2-21-1995	Shimizu et al.			
	5 5 1 0 2 2 9	4-23-1996	Satoh et al.			
	5 5 3 2 0 3 3	7-2-1996	Yashiro			
	5 5 8 0 6 9 6	12-3-1996	Yashiro			
	5 6 6 0 9 7 0	8-26-1997	Shimizu et al.			
	5 7 8 9 1 3 8	8-4-1998	Yashiro			
	5 8 8 2 7 5 7	3-16-1999	Yashiro			
	5 9 3 2 7 2 1	8-3-1999	Yashiro et al.			
	5 9 6 8 7 0 8	10-19-1999	Yashiro et al.			
	6 0 4 3 3 5 5	3-28-2000	Yashiro et al.			

FOREIGN PATENT DOCUMENTS

Document Number	Date	Country	Class	Subclass	Translation	
					Yes	No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	U.S. Application No. 09/569,501 to YAMADA et al., filed May 11, 2000.

EXAMINER	DATE CONSIDERED
-----------------	------------------------

***EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Form PTO-1449		U.S. Department of Commerce Patent and Trademark Office		Atty. Docket No. 2271/71528	Serial No. 10/776,973		
INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)				Applicants: Tohru YASHIRO et al.			
				Filing Date: February 11, 2004	Group Art Unit:		
U.S. PATENT DOCUMENTS							
Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
		6 0 4 5 9 7 1	4-4-2000	Yashiro			
		6 1 3 7 7 6 9	10-24-2000	Sawada et al.			
		6 4 6 9 9 6 3	10-22-2002	Sawada et al.			
		20 03 /0 05 97 12 A1	3-27-2003	Yashiro			
		20 03 /0 06 35 39 A1	4-3-2003	Yashiro			
		6 5 5 8 7 6 8	5-6-2003	Noguchi et al.			
		6 5 6 2 4 3 2	5-13-2003	Ogawa et al.			
		20 03 /0 12 44 59 A1	7-3-2003	Yashiro et al.			
		6 5 9 6 3 6 4	7-22-2003	Shimizu et al.			
		6 5 9 9 6 0 5	7-29-2003	Shimizu et al.			
		20 03 /0 18 72 72 A1	10-2-2003	Shimizu et al.			
		20 03 /0 20 65 14 A1	11-6-2003	Noguchi et al.			
		20 03 /0 21 56 73 A1	11-20-2003	Mikami et al.			
		6 7 3 7 1 4 3	5-18-2004	Noguchi et al.			
FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Subclass	Translation
							Yes No
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
EXAMINER		DATE CONSIDERED					
<small>*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</small>							